

# GC in Smalltalk

## MarkAndCompactGC



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# ESUG 2010

**Object** subclass: **#GenerationalGC**

```
collect
self
followRoots;
followStack;
rescueEphemérons;
fixWeakContainers;
flipSpaces
```

to

from

- **generational** purgeRoots
- **generational** follow: **object**
- **generational** moveToOldOrTo: **object**
- **generational**  
fixReferencesOrSetTombstone:  
**weakContainer**
- **generational** addInterrupt

# ESUG 2011

Object subclass: #VMGarbageCollector

VMGarbageCollector subclass: #GenerationalGC

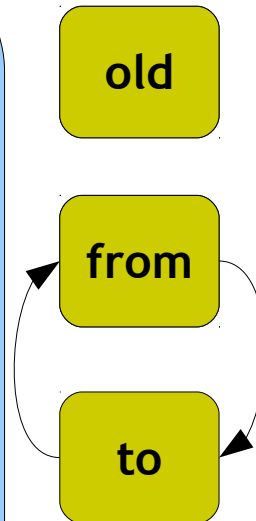
VMGarbageCollector subclass: #MarkAndCompactGC

# ESUG 2011

**VMGarbageCollector** subclass: **#MarkAndCompactGC**

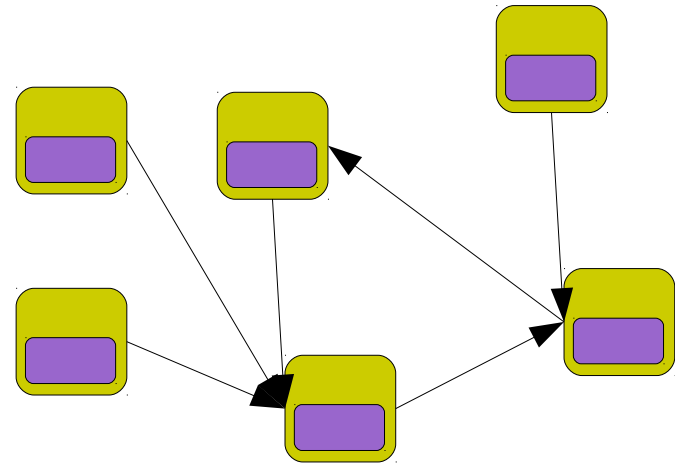
**collect**  
**self**

```
unseeWellKnownObjects;  
followAll;  
setNewPositions: oldSpace;  
setNewPositions: fromSpace;  
prepareForCompact;  
compact: oldSpace;  
compact: fromSpace;  
updateOldSpace;  
makeRescuedEphemeronNonWeak;  
resetFrom;  
decommitSlack;  
addInterrupt
```



# MarkAndCompactGC

- ◆ Three phases
  - ◆ Follow (mark)
  - ◆ Set new positions
  - ◆ Compact (move)



```
collect  
self
```

```
...  
followAll;  
setNewPositions: oldSpace;  
setNewPositions: fromSpace;  
prepareForCompact;  
compact: oldSpace;  
compact: fromSpace;
```

```
...
```

# MarkAndCompactGC

followAll

- ◆ Precondition: **all** objects unseen

VMGarbageCollector subclass: #MarkAndCompactGC

Arena

from

old

Libraries

Characters

true  
false  
nil

# MarkAndCompactGC

followAll

- ◆ Precondition: all objects unseen

VMGarbageCollector subclass: #MarkAndCompactGC

```
unseeWellKnownObjects
  nil _beUnseenInLibrary.
  true _beUnseenInLibrary.
  false _beUnseenInLibrary.
  self unseeLibraryObjects;
  unseeCharacters;
  unseeSKernel
```

Libraries

Characters

true  
false  
nil

# MarkAndCompactGC

followAll

- ◆ Precondition: **all** objects unseen

VMGarbageCollector subclass: #MarkAndCompactGC

Arena

from

old

- ◆ *unseen*: “natural” state in Arena



# MarkAndCompactGC

followAll

- ◆ Recognize & Mark all living objects

VMGarbageCollector subclass: #MarkAndCompactGC

Arena

from

old

Libraries

Characters

true  
false  
nil

# MarkAndCompactGC

followAll

- ◆ Recognize & Mark all living objects

**VMGarbageCollector** subclass: **#MarkAndCompactGC**

followAll

self

```
followSKernel;  
followStack;  
followExtraRoots;  
rescueEphemérons;  
fixWeakContainers;  
followRescuedEphemérons
```

Arena

from

old

Libraries

Characters

true  
false  
nil

# MarkAndCompactGC

followAll

- ◆ Recognize & Mark all living objects

VMGarbageCollector subclass: #MarkAndCompactGC

followsSKernel

self

follow: self sKernel

count: self sKernelSize

startingAt: 1

Arena

from

old

Libraries

Characters

true

false

nil

# MarkAndCompactGC

follow: **root** count: **size** startingAt: **base**

- ◆ Recognize & Mark all living objects

```
(self arenaIncludes: object)
  ifFalse: [
    object _hasBeenSeenInLibrary ifFalse: [
      object _beSeenInLibrary.
      self follow: object...
```

Libraries

Characters

true  
false  
nil

# MarkAndCompactGC

follow: **root** count: **size** startingAt: **base**

- ◆ Recognize & Mark all living objects

```
(self arenaIncludes: object)
  ifFalse: [
    object _hasBeenSeenInLibrary ifFalse: [
      object _beSeenInLibrary.
      self follow: object...
```

```
  ifTrue: [
    object _threadWith: reference at: oldIndex.
    object _hasBeenSeenInSpace ifFalse: [
      " object _beSeenInSpace "
      self follow: object...]]
```

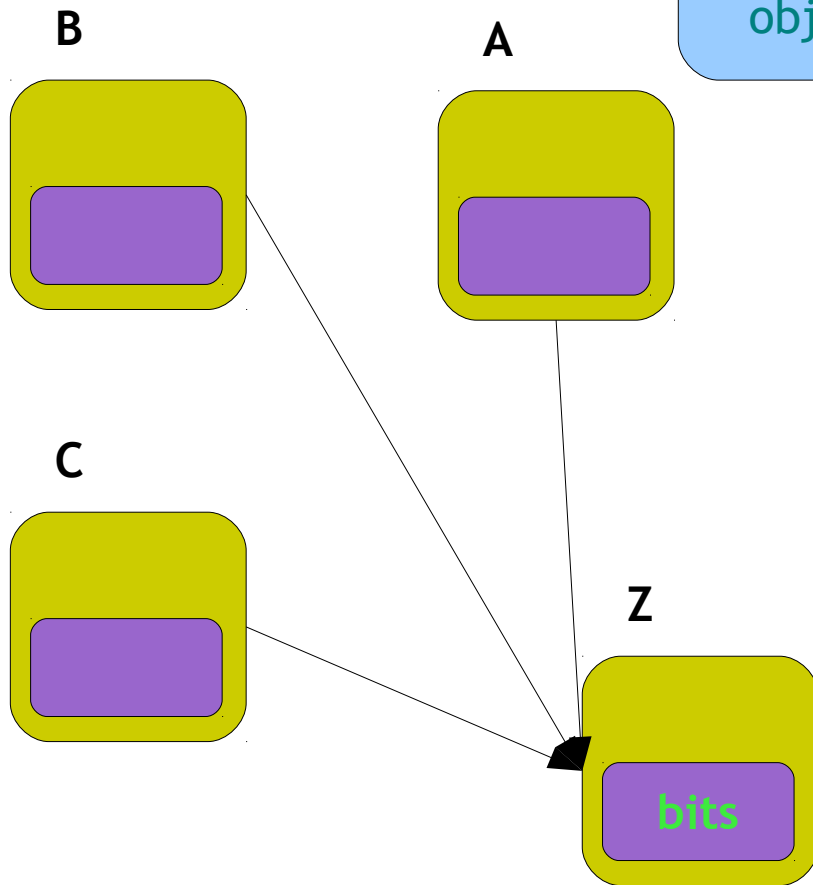
Arena

from

old

# MarkAndCompactGC

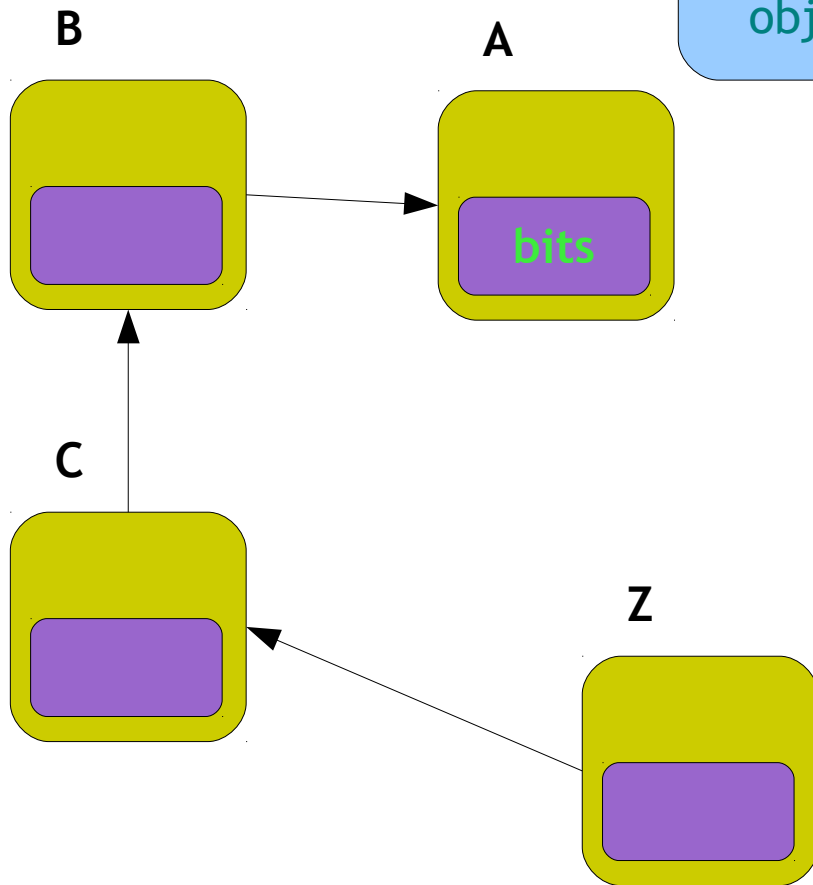
```
follow: root count: size startingAt: base  
...  
object _threadWith: reference at: oldIndex.
```



Morris, F. L. 1978. A time-and space-efficient garbage compaction algorithm. Communications of the ACM. 21, 8, 662-665.

# MarkAndCompactGC

```
follow: root count: size startingAt: base  
...  
object _threadWith: reference at: oldIndex.
```



Morris, F. L. 1978. A time-and space-efficient garbage compaction algorithm. Communications of the ACM. 21, 8, 662-665.

# MarkAndCompactGC

followAll

- ◆ Recognize & Mark all living objects

**VMGarbageCollector** subclass: **#MarkAndCompactGC**

followExtraRoots

self follow: self extraRoots count: 3 startingAt: 1

followRescuedEphemeron

self follow: rescuedEphemeron count:...

Arena

from

old

Libraries

Characters

true  
false  
nil



# MarkAndCompactGC

followAll

- ◆ Recognize & Mark all living objects

VMGarbageCollector subclass: #MarkAndCompactGC

followStack  
super followStack

rescueEphemérons  
super rescueEphemérons

Arena

from

old

Libraries

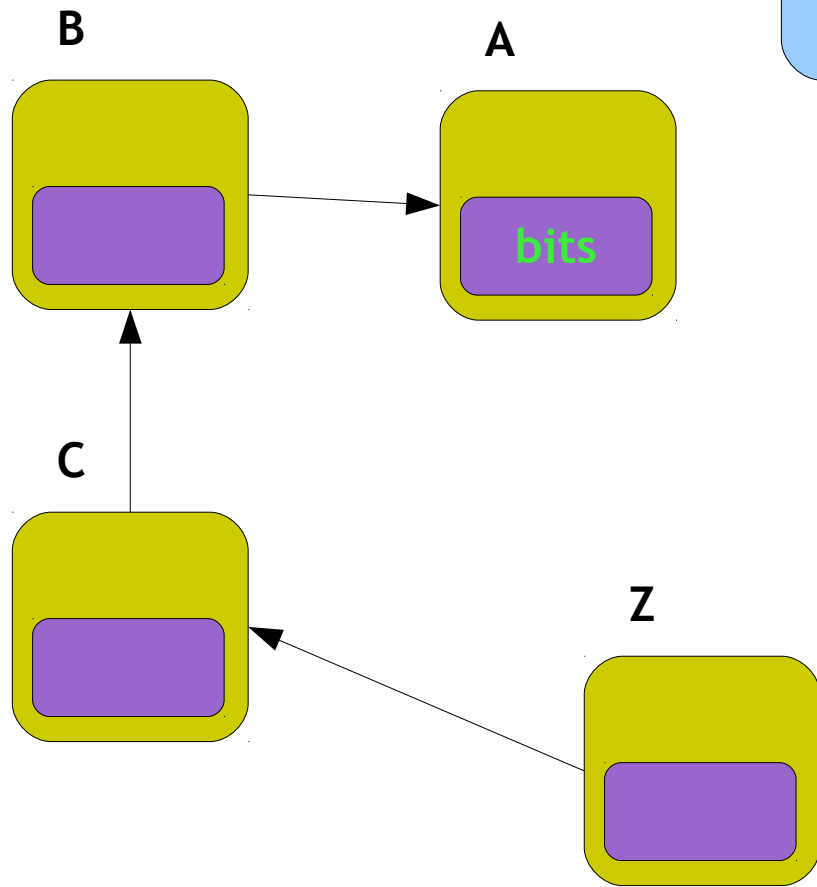
Characters

true  
false  
nil

- ◆ Implemented VMGarbageCollector

# MarkAndCompactGC

```
collect
...
setNewPositions: oldSpace;
setNewPositions: fromSpace;
```

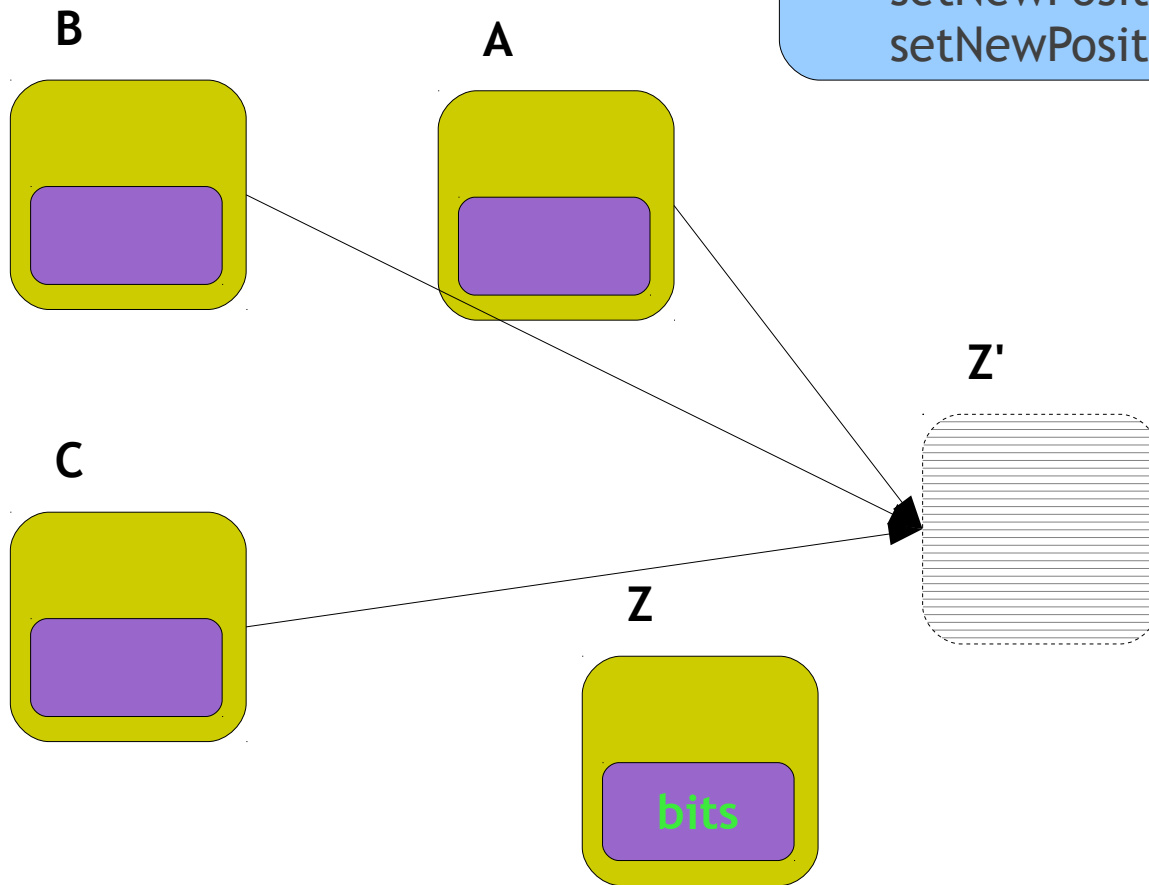


# MarkAndCompactGC

collect

...

```
setNewPositions: oldSpace;  
setNewPositions: fromSpace;
```



# MarkAndCompactGC

```
collect
```

```
...
```

```
  setNewPositions: oldSpace;  
  setNewPositions: fromSpace;
```

```
setNewPositions: space
```

```
self
```

```
  seenObjectsFrom: space base
```

```
  to: space nextFree
```

```
  do: [:object :headerSize | | newPosition nextReference reference headerBits |
```

```
    newPosition := auxSpace nextFree + headerSize.
```

```
    reference := object _headerBits _unrotate.
```

```
      headerBits := reference _basicAt: 1.
```

```
      reference _basicAt: 1 put: newPosition _toObject.
```

```
  object _basicAt: -1 put: headerBits; _beSeenInSpace.
```

```
  auxSpace nextFree: newPosition + object _byteSize]
```

# MarkAndCompactGC

## ◆ Unthread references

```
collect
```

```
...
```

```
setNewPositions: oldSpace;
```

```
setNewPositions: fromSpace;
```

```
setNewPositions: space
```

```
self
```

```
seenObjectsFrom: space base
```

```
to: space nextFree
```

```
do: [:object :headerSize | | newPosition nextReference reference headerBits |
```

```
    newPosition := auxSpace nextFree + headerSize.
```

```
    reference := object _headerBits _unrotate.
```

```
    [
```

```
        headerBits := reference _basicAt: 1.
```

```
        reference _basicAt: 1 put: newPosition _toObject.
```

```
        nextReference := headerBits _unrotate.
```

```
        nextReference _isSmallInteger]
```

```
    whileFalse: [reference := nextReference].
```

```
    object _basicAt: -1 put: headerBits; _beSeenInSpace.
```

```
    auxSpace nextFree: newPosition + object _byteSize]
```

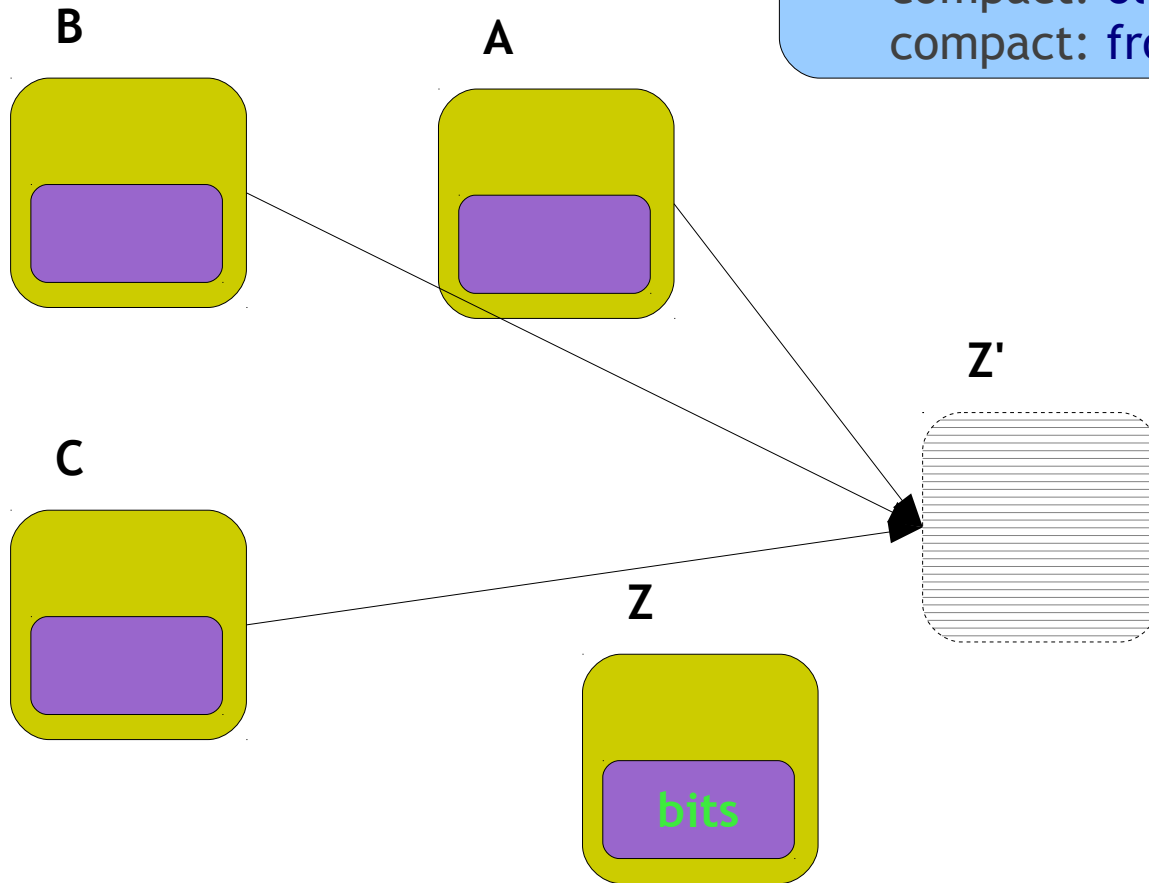
# MarkAndCompactGC

collect

...

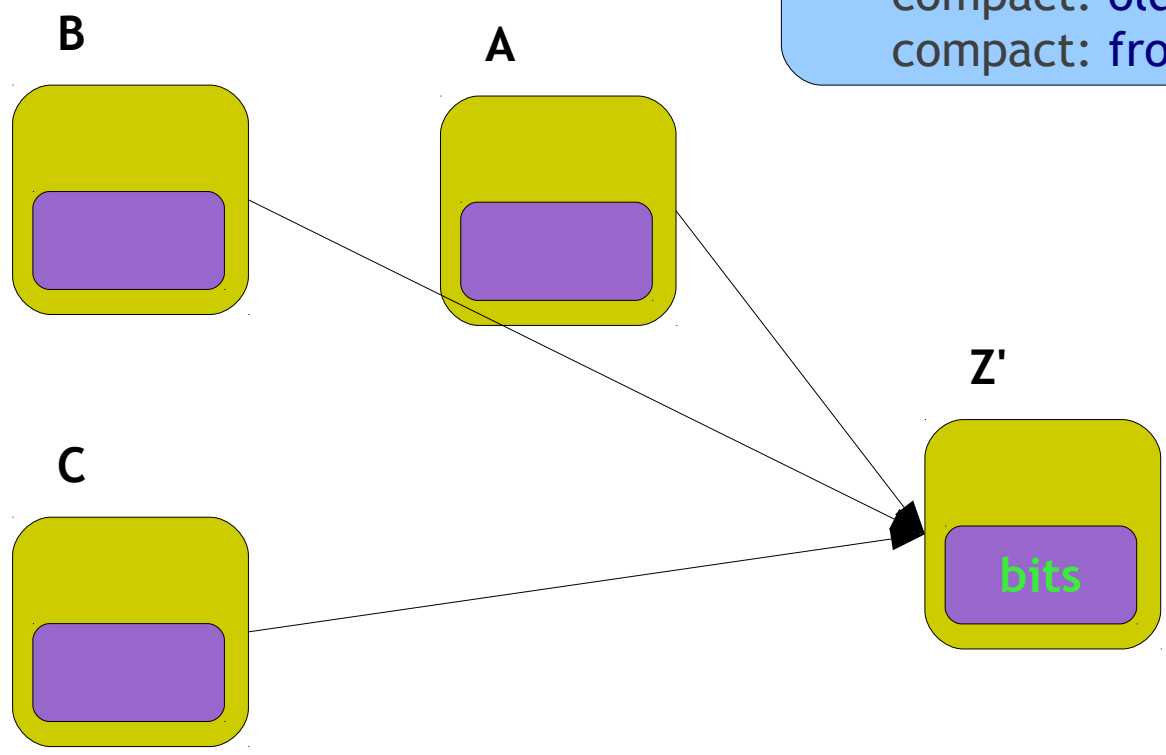
compact: oldSpace;

compact: fromSpace;



# MarkAndCompactGC

```
collect  
...  
compact: oldSpace;  
compact: fromSpace;
```



# MarkAndCompactGC

```
collect
```

```
...
```

```
compact: oldSpace;
```

```
compact: fromSpace;
```

```
compact: space
```

```
self objectsFrom: space base to: space nextFree do: [:object |  
object _hasBeenSeenInSpace ifTrue: [| moved |  
moved := auxSpace shallowCopy: object.  
moved _beUnseenInSpace]]
```



# MarkAndCompactGC

collect

...

```
makeRescuedEphemeronNonWeak;  
updateOldSpace;
```

makeRescuedEphemeronNonWeak

```
rescuedEphemeron do: [:ephemeron | ephemeron _haveNoWeaks]
```

updateOldSpace

```
oldSpace loadFrom: auxSpace
```

# MarkAndCompactGC

## ◆ Free resources

```
collect
...
decommitSlack;
```

### decommitSlack

| limit delta |

limit := self nextFree + 16rFFF bitAnd: -16r1000.

delta := self committedLimit - limit.

delta < 0 ifTrue: [^self grow].

delta > 0 ifTrue: [

  limit \_decommit: delta.

  self committedLimit: limit]

# MarkAndCompactGC

## ◆ Free resources

```
collect
```

```
...
```

```
decommitSlack;
```

```
assembleDecommit
```

```
...
```

```
return := assembler
```

```
pushConstant: 16r4000;
```

```
pushArg;
```

```
pushR;
```

```
callTo: 'VirtualFree' from: 'KERNEL32.DLL';
```

```
convertToNative;
```

```
shortJump
```

# Stop

- ◆ We have a Smalltalk Scavenger



# Stop

- ◆ We have a Smalltalk Scavenger
- ◆ Written in Smalltalk



# Stop

- ◆ We have a Smalltalk Scavenger
  - ◆ Written in Smalltalk
    - ◆ Using objects



# Stop

- ◆ We have a Smalltalk Scavenger
  - ◆ Written in Smalltalk
    - ◆ Using objects
      - ◆ Instantiating objects



# Stop



- ◆ We have a Smalltalk Scavenger
  - ◆ Written in Smalltalk
    - ◆ Using objects
      - ◆ Instantiating objects
- ◆ How can **it** work?



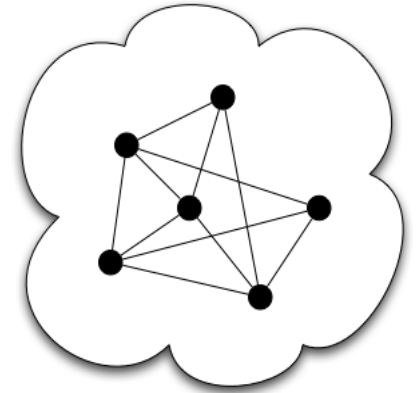
# Stop



- ◆ We have a Smalltalk Scavenger
  - ◆ Written in Smalltalk
    - ◆ Using objects
      - ◆ Instantiating objects
- ◆ How can **it** work?

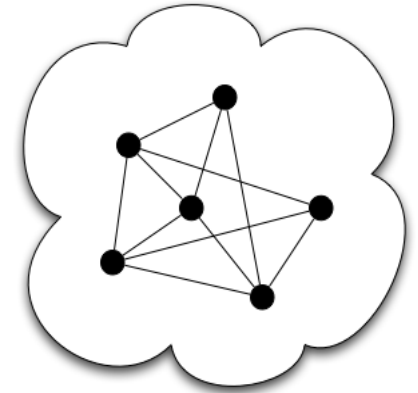
## Object Closure

# Object Closure



- ◆ What happens in ~~VegasGC~~ stays in ~~VegasGC~~
  - ◆ Self contained objects graph
  - ◆ Created objects do not live after GC
  - ◆ No message *new* in our code
  - ◆ Created objects:
    - ◆ Block Closures
    - ◆ Environment Contexts
    - ◆ Arrays
      - ◆ To interface with the **Host VM**

# Object Closure



## ◆ BlockClosure

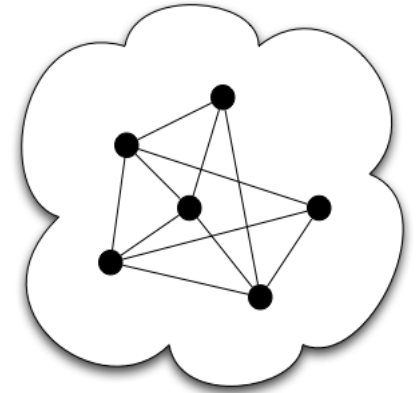
```
makeRescuedEphemeronNonWeak  
rescuedEphemeron do: [:epheMERON | epheMERON _haveNoWeaks]
```

## ◆ Environment context

```
compact: space  
| moved |  
self objectsFrom: space base to: space nextFree do: [:object |  
object _hasBeenSeenInSpace ifTrue: [  
moved := auxSpace shallowCopy: object]
```

The VM will instantiate both at end of young space

# Object Closure



## ◆ BlockClosure

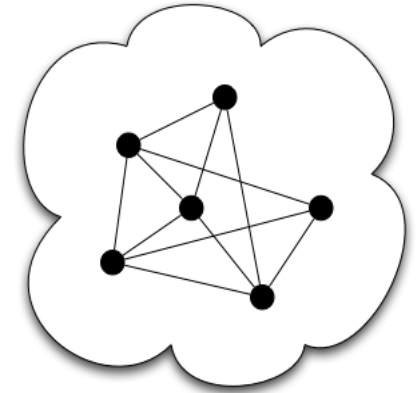
```
makeRescuedEphemeronNonWeak  
rescuedEphemeron do: [:ephemeron | ephemeron _haveNoWeaks]
```

## ◆ Environment context

```
compact: space  
| moved |  
self objectsFrom: space base to: space nextFree do: [:object |  
object _hasBeenSeenInSpace ifTrue: [  
moved := auxSpace shallowCopy: object]
```

The VM will instantiate both at end of young space  
we only scan up to space's size when the GC starts

# Object Closure



## ◆ Host VM interface arrays

### allocateArrays

rememberSet on: oldSpace; emptyReserving: 16r100.

literalsReferences emptyReserving: 16r200.

classCheckReferences emptyReserving: 16r100.

nativizedMethods emptyReserving: 16r100.

self

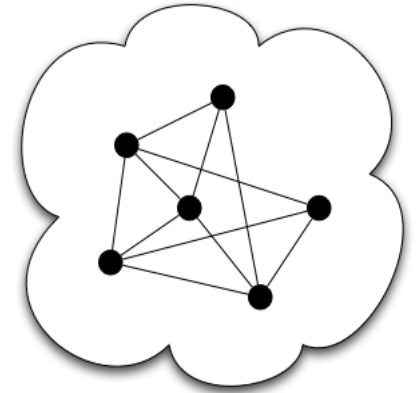
allocateWeakContainersArray;

allocateEphemeronArray;

forgetNativeArrays

allocated at end of *oldSpace*, just before returning

# Object Closure



## ◆ Host VM interface arrays

at: **index**

^contents \_basicAt: **index** + 1

at: **index** put: **value**

^contents \_basicAt: **index** + 1 put: **value**

use *\_primitives* instead of primitives

# Demo



```
[Audience hasQuestions] whileTrue: [  
  self answer: Audience nextQuestion].
```

```
Audience do: [:you | self thank: you].
```

```
self returnTo: Audience
```

